CASE LA/1-227 /PCT

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

inna P. Madda lena

Type or print name

Signature

Date /

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PCT NATIONAL STAGE APPLICATION OF

Group Art Unit:

HUGO CAMENZIND ET AL

Examiner:

INTERNATIONAL APPLICATION NO. PCT/EP 03/10115

FILED: SEPTEMBER 11, 2003

FOR: SUCCINIC ACID SEMI-AMIDES AS ANTI-

CORROSIVE AGENTS

U.S. APPLICATION NO: 10/526,694

35 USC 371 DATE: MARCH 3, 2005

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 CFR 1.56, Applicants wish to call the Examiner's attention to the references cited on the attached form PTO-1449. Copies of the International Search Report and the references cited therein were supplied when this application entered the U.S. national phase.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form.

Respectfully submitted,

Tyler A. Stevenson Agent for Applicants Reg. No. 46,388

Ciba Specialty Chemicals Corporation Patent Department 540 White Plains Road P.O. Box 2005 Tarrytown, NY 10591-9005 (914) 785-2783 Encl. PTO-1449 Form

Sheet 1 of 1

| | | | | Silect 1 OI 1. | | | | | |
|---|---------------------------------------|---------------|-----------------------|---|------------------------|-----------|---------|-------------------------|--|
| FORM PTO-1449 | | | | Docket Number (Optional) Application Number | | | | | |
| | | | | LA/1-22745/A/PCT 10/526,694 | | | | | |
| INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) | | | | Applicant | | | | | |
| | | | | HUGO CAMEI | NZINDETAL | Group Art | l Init | | |
| | | | | Filing Date | DE. | Group Art | Unit | | |
| | | | | MARCH 3, 200 | | | | | |
| | | | U.S. PATEN | T DOCUMENTS | <u> </u> | | | | |
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | | NAME | CLASS | SUBCLASS | | NG DATE IF PROPRIATE | |
| | 5,275,749 | 1/94 | Kugel et al | | 252 | 51.5 | | | |
| | 4,462,918 | 7/84 | Matthews et al | | 252 | 32.7 | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| · · · · · · · · · · · · · · · · · · · | | FC | REIGN PAT | ENT DOCUMEN | NTS | | | | |
| | DOCUMENT NUMBER | DATE | COUNTRY | | CLASS | SUBCLASS | YES YES | NO NO | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | · · · · · · · · · · · · · · · · · · · | | | | | |
| | | | <u> </u> | | | | | | |
| <u> </u> | OTHE | R DOCU | MENIS (includ | ing Author, Title, Date | , Pertinent Pages, Etc | :.) | | | |
| | | | | | | | | | |
| | · · · · · · · · · · · · · · · · · · · | | <u> </u> | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| EXAMINER | | | | DATE CONSIDERE | ED | | | | |
| EXAMINER: Initia | I if citation considered, | whether or no | ot citation is in con | formance with MPFP | \$609; Draw line throu | gh | | | |
| | nformance and not cons | | | | | | | | |

JC03 Rec'd PCT/PTO 2 7 MAY 2009

CASE CO/21-22746/PCT

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Hnna R. Madda lena Type or print name

Signature

Date /

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PCT NATIONAL STAGE APPLICATION OF

Group Art Unit:

PETER NESVADBA ET AL

Examiner:

INTERNATIONAL APPLICATION NO. PCT/EP03/10272

FILED: SEPTEMBER 16, 2003

FOR: POLYMERIC ALKOXYAMINES PREPARED BY ATOM TRANSFER RADICAL ADDITION

(ATRA)

U.S. APPLICATION NO: 10/526,693 35 USC 371 DATE: MARCH 3, 2005

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 CFR 1.56, Applicants wish to call the Examiner's attention to the references cited on the attached forms PTO-1449. Copies of the International Search Report and the references cited therein were supplied when this application entered the U.S. national phase.

Therefore, copies are not required to be resubmitted. The International Search Report indicates that U.S. Patent 2002/040117 is a substantively cumulative English language counterpart of EP 1142913.

Enclosed herewith is a copy of the European Search Report. The references cited therein are the same as those cited in the International Search Report. Also enclosed herewith is a copy of the Hawker et al article.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 forms.

Respectfully submitted,

Ciba Specialty Chemicals Corporation Patent Department 540 White Plains Road P.O. Box 2005 Tarrytown, NY 10591-9005 (914) 785-2783 Encl. Reference

PTO-1449 Forms EP Search Report Tyler A. Stevenson Agent for Applicants Reg. No. 46,388

Sheet _ 1 _ of _ 2

| FORM PTO-1449 | | | | Docket Number (Optional) | | Application Number | | | |
|---------------------------------------|---------------------------------------|---------------------------------------|----------------------|---|---------------------------------------|--------------------|----------------|--------|--|
| | | | | CO/21-22746/PCT | 10/526,6 | 10/526,693 | | | |
| INFORMATION DISCLOSURE CITATION | | | | Applicant | | | | | |
| IN AN APPLICATION | | | | PETER NESVADBA ET AL Filing Date Group Art Unit | | | | | |
| (Use several sheets if necessary) | | | | MARCH 3, 2005 | | | | | |
| | | | U. S. PATEN | T DOCUMENTS | | | | | |
| EXAMINER | | | | | | | FILING DATE IF | | |
| INITIAL | DOCUMENT NUMBER | DATE | | NAME | CLASS | SUBCLASS | APPRO | PRIATE | |
| | 5,498,679 | 3/96 | Moffat et al | | 526 | 204 | | | |
| | 2002/040117 | 4/02 | Guerret et al | | 526 | 220 | | | |
| ····· | 5,844,026 | 12/98 | Galbo et al | | 524 | 100 | | | |
| · · · · · · · · · · · · · · · · · · · | 5,393,812 | 2/95 | Haley et al | <u></u> | 524 | 91 | | | |
| | 4,581,429 | 4/86 | Solomon et al | | 526 | 220 | | | |
| | 4,921,962 | 5/90 | Galbo et al | | 546 | 184 | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | FC | REIGN PATE | ENT DOCUMENTS | | | | | |
| | | | | | | | | lation | |
| | DOCUMENT NUMBER | DATE | <u> </u> | COUNTRY | CLASS | SUBCLASS | YES | NO | |
| | 00/71501 | 11/00 | PCT/World | | | | | | |
| | 00/61544 | 10/00 | PCT/World | | | | | | |
| | 02/38618 | 5/02 | PCT/World | | | | | | |
| | 1142913 | 10/01 | Europe | | | | | | |
| | 01/02345 | 1/01 | PCT/World | | | | | | |
| | OTHE | R DOCU | MENTS (includi | ng Author, Title, Date, Pertine | nt Pages, Etc.) | | | | |
| | C. J. Hawker et al., C | Chem. Rev | ., (2001), Vol. | 101, pp. 3661-3688 | | | | | |
| | | | | | 1111111111111 | | | - | |
| | | | | | | | | | |
| | · · · · · · · · · · · · · · · · · · · | | | | · · · · · · · · · · · · · · · · · · · | | | | |
| | | | | | · · · · · · · · · · · · · · · · · · · | | | | |
| | | | | | | | | | |
| EXAMINER | <u> </u> | · · · · · · · · · · · · · · · · · · · | | DATE CONSIDERED | | | | • | |
| | | | | <u> </u> | | | | | |
| | | | | ance with MPEP §609; Draw li | _ | | | | |
| citation if not in co | intormance and not consider | ea. Include (| copy of this form wi | ith next communication to the | applicant. | | | | |

Sheet 2 of 2

| FORM PTO-14 | 149 | | ner de la companya de | Docket Number (Optional) | | Application Number | | | | |
|---|--------------------------------|---------------------------------------|---|---|----------------|--------------------|----------------|-------------|--------|--|
| INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) | | | | CO/21-22746/PCT | | 10/526,693 | | | | |
| | | | | Applicant PETER NESVADBA ET AL | | | | | | |
| | | | | Filing Date | | Group | Art Unit | | | |
| | | | | MARCH 3, 2005 | | | | | | |
| | | | U.S. PATEN | IT DOCUMENTS | | | | | | |
| EXAMINER | | | | | | | FILING DATE IF | | | |
| INITIAL | DOCUMENT NUMBER | DATE | NAME | | CLASS SUBCLASS | | SUBCLASS | APPROPRIATE | | |
| | | | | , <u></u> | | - } | | | 1 | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | • | | | | | | |
| | | | | | | | | | | |
| | | | | <u> </u> | | | | | | |
| | | | | | | | | | | |
| | | · · · · · · · · · · · · · · · · · · · | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | • | FC | DREIGN PAT | ENT DOCUMENTS | | | | | | |
| | | | | | | | | Trans | lation | |
| | DOCUMENT NUMBER | DATE | COUNTRY | | CLAS | s | SUBCLASS | YES | NO | |
| | 01/90113 | 11/01 | PCT/World | | | 1 | | | | |
| | 0792911 | 9/97 | Europe | | | | | | | |
| | 0772511 | 2131 | Lurope | | | \dashv | | | | |
| | | · · · · · · · · · · · · · · · · · · · | | | | | | | | |
| | | · · · · · · · · · · · · · · · · · · · | | | | | | | | |
| | | | | | | | | | | |
| | OTHE | R DOCU | MENTS (includ | ing Author, Title, Date, Pertine | nt Pages. I | Etc.) | | | | |
| | | | | , | | | | | | |
| | | | | | | | | | | |
| | | | | • | | | | | - 1 | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| 19) | | | | | | | | | | |
| | | | V 300 | | | | | , | | |
| EVAMINED | | | | DATE CONCIDENCE | | | | | | |
| EXAMINER | | | | DATE CONSIDERED | | | | | | |
| | | | | L., | | | | | | |
| EXAMINER: Initia | l if citation considered, whet | her or not cit | tation is in conform | ance with MPEP §609; Draw li | ne through | | | | | |
| citation if not in co | nformance and not consider | ed. Include | copy of this form w | ith next communication to the | applicant. | | | | | |